

**University of La Verne  
Institutional Review Board (IRB)  
For The**

**Protection of Human Participants in Research**

**EXPANDED EXPLANATION FOR EXEMPT CATEGORY**

Research activities in which the only involvement of human participants will be in one or more of the following categories may be exempt from full Institutional Review Board review. The final determination as to category status will be made by the University Research Coordinator and/or Institutional Review Board.

1. Research conducted in established or commonly accepted educational settings, involving normal educational practices, such as: (i) research on regular and special education instructional strategies, or (ii) research on the effectiveness of or the comparison among instructional techniques, curricula, or classroom management methods.
2. Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures or observation of public behavior unless: (i) information obtained is recorded in such a manner that human participants can be identified, directly or through identifiers linked to the subjects; and (ii) any disclosure of the human participant responses outside the research could reasonably place the participants at risk for criminal or civil liability or be damaging to the participants' financial standing, employability, or reputation.
3. Research involving the use of educational tests (cognitive, diagnostic, aptitude, achievement), survey procedures, interview procedures, or observation of public behavior that is not otherwise exempt if: (i) the human participants are elected or appointed public officials or candidates for public office; or (ii) federal statute(s) require(s) without exception that the confidentiality of the personally identifiable information will be maintained throughout the research and thereafter.
4. Research involving the collection or study of existing data, documents, records, pathological specimens, or diagnostic specimens, if these sources are publicly available or if the information is recorded by the researcher/investigator in such a manner that subjects cannot be identified, directly or through identifiers linked to the participants.
5. Research and demonstration projects which are conducted by or subject to the approval of department or agency heads, and which are designed to study, evaluate,

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